

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No

8594-002-64 DIV

First Inventor or Application Identifier

Anthony BEVERINA

Title

METHOD AND APPARATUS FOR RISK MANAGEMENT

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **76**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **53**
4. ☒ Oath or Declaration Total Pages **3**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☒ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☒ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
13. ☐ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☒ Other: Letter to Official Draftsman
Formal Drawings (53 Sheets)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/453,509
Prior application information: Examiner: S. BRODA Group Art Unit: 2123

17. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)
of application Serial No. 09/453,509 Filed on December 3, 1999
- ☐ This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
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Name Steven B. Kelber

Registration No.

30,073

Signature

Date

5/14/01

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202-861-3900

Name

James M. Heintz

Registration No.

41,828

FEE TRANSMITTAL

Docket No.	8594-002-64 DIV
Serial No.	New Application
Filing Date	Herewith
Inventor(s)	Anthony BEVERINA, et al.
Group Art Unit	
Examiner	

TOTAL AMOUNT OF PAYMENT \$355.00

1. ☒ Applicant claims small entity status.

☐ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

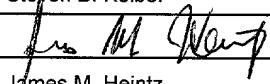
Large Entity		Small Entity		Fee Description		Fee Code		Fee (\$)		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)								
105	130	205	65	Surcharge-late filing fee or oath							
127	50	227	25	Surcharge-late provisional filing fee or cover sheet							
139	130	139	130	Non-English specification							
147	2520	147	2520	Ex parte reexam fee							
101	710	201	355	Utility filing fee	355.00	115	110	215	55	1-mo ext of time	
106	320	206	160	Design filing fee		116	390	216	195	2-mo ext of time	
107	490	207	245	Plant filing fee		117	890	217	445	3-mo ext of time	
108	710	208	355	Reissue filing fee		118	1390	218	695	4-mo ext of time	
114	150	214	75	Provisional filing fee		128	1390	228	945	5-mo ext of time	
SUBTOTAL (1)					\$355.00	119	310	219	155	Notice of Appeal	

2. EXTRA CLAIM FEES

tot. claims	20	-	20*	=	0	x	\$9	=	0	121	270	221	135	Request for Oral Hearing		
ind. claims	1	-	3*	=	0	x	\$40	=	0	142	1240	242	620	Utility/Reissue Issue Fee		
<input type="checkbox"/>	Multiple Dependent Claims						\$135	=		143	440	243	220	Design Issue Fee		
Large Entity		Small Entity		Fee Description						144	600	244	300	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)							122	130	122	130	Petitions to the Commissioner		
103	180	203	9	Claims in excess of 20						126	180	126	180	IDS Submission		
102	80	202	40	Independent claims in excess of 3						581	40	581	40	Assignment		
104	270	204	135	Multiple dependent claim, if not paid						179	710	279	355	For Filing RCE		
109	80	209	40	*Reissue independent claims over original patent						169	900	169	900	Expedited Design		
110	18	210	9	*Reissue claims in excess of 20 and over original patent						OTHER (indicate below)						
SUBTOTAL (2)								\$0.00								

* or number previously paid, if greater, For Reissues, see above

SUBTOTAL (3) \$0.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	5/14/01
Name	James M. Heintz	Registration No.	41,828
		Telephone	202-861-3900

DOCKET NO. 8594-002-64 DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Anthony BEVERINA, et al. ART UNIT:
SERIAL NO.: New Application EXAMINER:
FILING DATE: Herewith
FOR: METHOD AND APPARATUS FOR RISK MANAGEMENT

LETTER TO OFFICIAL DRAFTSMAN

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

It is requested that the enclosed **53** sheets of Formal Drawings comprising Figures **1-53** be entered to replace the Informal Drawings filed with the application.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

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